

PTO/SB/97 (08-04)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

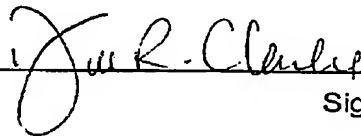
RECEIVED  
CENTRAL FAX CENTER

## Certificate of Transmission under 37 CFR 1.8

JUL 13 2006

I hereby certify that this correspondence for U.S. Patent Application No. 10/759,740, filed January 16, 2004 in re Yinghe Hu and Cecilia Jiang is being facsimile transmitted to the United States Patent and Trademark Office at facsimile number (571) 273-8300 on July 13, 2006.

Date



Signature

Jill R. Clarke

Typed or printed name of person signing Certificate

858-812-1855

Registration Number if applicable

Telephone Number

Typed or printed name of person signing Certificate

Customer No. 29490

Genomics Institute of the Novartis Research Foundation

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

1. Response to Non-Final Office Action (4 pp);
2. Attachment A: Page 1 of 1, Definition of "Serum Response Element" - Dictionary of Cell and Molecular Biology - Online!, 9/22/2005 (1p);
3. Attachment B: Page 1 of 1, Definition of "Serum Response Element" - On-line Medical Dictionary, 9/22/2005 (1p); and
4. Form PTO/SB/97 - Fax Cover Sheet with Certificate of Transmission under 37 CFR 1.8 (1 p).

Total number of pages (including this paper): 8

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/21 (09-04)

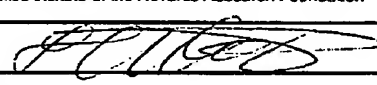
Approved for use through 07/31/2008. OMB 0651-0031

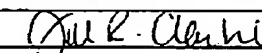
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                      |                        |  |
|--|----------------------|------------------------|--|
| <b>TRANSMITTAL FORM</b><br><br><small>(to be used for all correspondence after initial filing)</small> | Application Number   | 10/759,740             | <b>RECEIVED<br/>CENTRAL FAX CENTER<br/>JUL 13 2006</b> |
|  | Filing Date          | 01/16/2004             |  |
|  | First Named Inventor | Yinghe Hu              |  |
|  | Art Unit             | 1636                   |  |
|  | Examiner Name        | KETTER, JAMES S.       |  |
| Total Number of Pages in This Submission   | 8                    | Attorney Docket Number | P1125US00  |

| ENCLOSURES (check all that apply)   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. Response to Non-Final Office Action (4 pp);<br>2. Attachment A: Page 1 of 1, Definition of "Serum Response Element" - Dictionary of Cell and Molecular Biology - Onfiled, 9/22/2005 (1p);<br>3. Attachment B: Page 1 of 1, Definition of "Serum Response Element" - On-line Medical Dictionary, 9/22/2005 (1p); and<br>4. Form PTO/SB/97 - Fax Cover Sheet with Certificate of Transmission under 37 CFR 1.8 (1 p). |
| <b>Remarks</b><br>The Director is hereby authorized to charge any necessary fees, or credit any overpayments, to Deposit Account No. 50-1885 for the duration of the pendency of this application.  |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |          |        |
|--|--|----------|--------|
| Firm                                       | Genomics Institute of the Novartis Research Foundation                               |          |        |
| Signature                                  |  |          |        |
| Printed Name                               | Hugh Wang  |          |        |
| Date                                       | July 13, 2006  | Reg. No. | 47,163 |

| CERTIFICATE OF TRANSMISSION/MAILING  |   |      |               |
|--|---|------|---------------|
| I hereby certify that this correspondence is being facsimile transmitted to Commissioner for Patents of the US PTO at facsimile number 571-273-8300 on the date shown below. |   |      |               |
| Signature  |  |      |               |
| Typed or printed name  | Jill R. Clarke  | Date | July 13, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, - DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.